U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK JACOB REC

FORM RTO-1390 (REV. 10-2003)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO

INTERNATIONAL FILING DATE

14 March 2005

PRIORITY DATE CLAIMED:

22 March 2004

960/197

PCT/JP2005/004739 TITLE OF INVENTION

EXHAUST PURIFYING APPARATUS AND EXHAUST PURIFYING METHOD FOR INTERNAL **COMBUSTION ENGINE**

APPLICANT(S) FOR DO/EO/US

OTSUBO, Yasuhiko; YOKOI, Tatsuhisa; MATSUNO, Shigehiro; MATSUOKA, Hiroki; INABA, Takayoshi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. 🗹
- 2. 🗆 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. ☑ items (5), (6), (9) and (21) indicated below.
- 4. The US has been elected (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. ☑
 - a. \square is attached hereto (required only if not communicated by the International Bureau).
 - b. 🗹 has been communicated by the International Bureau.
 - c. \square is not required, as the application was filed in the United States Receiving Office (RO/US).
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. ☑
 - a.
 is attached hereto.
 - b. □ has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) ✓
 - a \square are attached hereto (required only if not communicated by the International Bureau).
 - b. Dhave been communicated by the International Bureau.
 - c. \(\square\) have not been made; however, the time limit for making such amendments has NOT expired.
 - d. I have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8.
- 9. 🗹 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. 🗆 Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🗹
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🗹
- A preliminary amendment. 13. 🗹
- An Application Data Sheet under 37 CFR 1.76. 14.
- 15. 🗹 A substitute specification.
- A power of attorney and/or change of address letter. 16. 🗆
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825. 17. 🗆
- A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. □
- A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 🗆
- Other items or information: International Search Report, cover page of published PCT application, marked-up copy 20. 🗹 of Substitute Specification

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21. The following fees are submitted:							
☑ Basic N	Basic National Fee\$ 300.00						
22 Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)						\$ 200.00	
23. Search Fee							
Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00						\$ 400.00	
						\$ 900.00	
□ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing on computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or RATE							
Total Sheets	Exita	Sheets	fractio	on thereof (round up to a number)	KAIE		H
31.	0 0			x \$250	\$ -0-	,	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	4
CLAIMS		NUMBER FILED		NUMBER EXTRA	RATE		
Total Claims	Total Claims		20 =	0	x \$50.00	\$ -0-	
Independent C	Claims	3 - 3 =		0	x \$200.00	\$ -0-	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						\$ -0-	
TOTAL OF ABOVE CALCULATIONS =						\$ 900.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						\$ -0-	
						\$ 900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$ -0-	
			\$ 900.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$ 40.00	
TOTAL FEES ENCLOSED =						\$ 940.00	
						Amount to be refunded:	\$
						Amount to be charged	\$
b. Please charge my Deposit Account No. 11-0600 in the amount of \$940.00 to over the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, appetition to revive (37 CFR 1.137(a) or							
(b)) must be filed and granted to restore the application to pending status SEND ALL CORRESPONDENCE TO:							
	N & KEI						
1500 K Street, N.W., Suite 700 (Reg. No. 25,951) Washington, DC 20005 NAME: John C.						Altmiller	- İ
CUSTOMER NO. 23838 DATE: 05 Decem							